

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	11583515
<b>Application Number:</b>	09676374
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	1828
<b>Title of Invention:</b>	SYSTEM AND METHOD FOR ANALYZING AND SEARCHING FINANCIAL INSTRUMENT DATA
<b>First Named Inventor/Applicant Name:</b>	Ernest H. Zerenner
<b>Customer Number:</b>	23377
<b>Filer:</b>	John E. McGlynn/Susan Ervin
<b>Filer Authorized By:</b>	John E. McGlynn
<b>Attorney Docket Number:</b>	POPT-0004
<b>Receipt Date:</b>	09-DEC-2011
<b>Filing Date:</b>	29-SEP-2000
<b>Time Stamp:</b>	14:53:41
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Deposit Account
Payment was successfully received in RAM	\$1100
RAM confirmation Number	1186
Deposit Account	233050
Authorized User	

The Director of the USPTO is hereby authorized to charge indicated fees and credit any overpayment as follows:

Charge any Additional Fees required under 37 C.F.R. Section 1.16 (National application filing, search, and examination fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.17 (Patent application and reexamination processing fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.19 (Document supply fees)

Charge any Additional Fees required under 37 C.F.R. Section 1.21 (Miscellaneous fees and charges)

### File Listing:

Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1	Request for Continued Examination (RCE)	POPT0004_RCE.PDF	697828 8fc0c3219651d1318c2c5d8e38a35c199a1e01f	no	3
Warnings:					
Information:					
2	Extension of Time	POPT0004_PetExtTime.PDF	286088 8e136819e12116e07304eca15d447a8d55630ec	no	2
Warnings:					
Information:					
3		POPT0004_AmdmtAF.PDF	152539 4a4f85c5d8b674794d3220a5f6196575e821f74c	yes	28
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment After Final		1	1	
	Claims		2	24	
	Applicant Arguments/Remarks Made in an Amendment		25	28	
Warnings:					
Information:					
4	Fee Worksheet (SB06)	fee-info.pdf	32218 079c74358413da5d391e1bcb206e01f612301c2ef	no	2
Warnings:					
Information:					
Total Files Size (in bytes):			1168673		

This Acknowledgement Receipt evidences receipt on the noted date by the USPTO of the indicated documents, characterized by the applicant, and including page counts, where applicable. It serves as evidence of receipt similar to a Post Card, as described in MPEP 503.

**New Applications Under 35 U.S.C. 111**

If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.

**National Stage of an International Application under 35 U.S.C. 371**

If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.

**New International Application Filed with the USPTO as a Receiving Office**

If a new international application is being filed and the international application includes the necessary components for an international filing date (see PCT Article 11 and MPEP 1810), a Notification of the International Application Number and of the International Filing Date (Form PCT/RO/105) will be issued in due course, subject to prescriptions concerning national security, and the date shown on this Acknowledgement Receipt will establish the international filing date of the application.